#### UCAL-105CIP2 Attorney Docket Number DECLARATION FOR UTILITY OR DESIGN Robert V. Farese et al. First Named Inventor PATENT APPLICATION (37 CFR 1.63) COMPLETE IF KNOWN 10/040,315 Application Number X\_Declaration Declaration Submitted with Submitted after Initial OR Filing Date October 29, 2001 Initial Filing Filing (surcharge (37 CFR 1.16(e)) required) Group Art Unit N/A N/A Examiner Name

#### As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### **DIACYLGLYCEROL O-ACYLTRANSFERASE**

the specification of whic	h:
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is attached hereto

OR

X was filed on October 29, 2001 as United States Application Number 10/040,315.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

Lacknowledge the duty to disclose information which is material to patentability as defined by 37 CFR 1.56.

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

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filing date before that of the application(s) of Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached			
				YES	NO		
I hereby claim the benefit under 35 U.S.C. 1	9(e) of any United	States provisional applicatio	n(s) listed below.	<u> </u>			
Application Number	r(s)	F	iling Date (MM/	DD/YYYY)			
60/107,771		11/09/98					
I hereby claim the benefit under 35 U.S.C. I designating the United States of America, lis		ates application(s), or 365(c)	of any PCT inter	national applica	tion(s)		
U.S. Parent Application or PCT Par	Parent Filing Dat (MM/DD/YYYY	٠ .	Parent Patent Number (if applicable)				
09/339,472 PCT/US98/17883 09/103,754		06/23/99 08/28/98			_		
		06/24/98	- 1				

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		DIR	ECT AI	LL COR	RESPON	DENCE	TO: -		
Name	Bret E. Field	-	-						
Address	BOZICEVIC,	FIELD &	FRANCI	S LLP					
Address	200 Middlefiel	ld Road, S	Suite 200		<del></del> -	<del></del>			
City, State, Zip	Menlo Park, C	A 94025				<del></del>		·	
Country	U.S.A.			Tel	ephone	650-327-	3400 I	Facsimile	650-327-3231
believed to be true punishable by fine	at all statements mad ; and further that thes or imprisonment, or patent issued thereon First Inventor:	se stateme both, und	nts were i	made with	the knowleds	ge that willf	ful false stat	ements and the l	ike so made are
	en Name (first and n	niddle lif	anvl)				Family Nan	ne or Surname	
		induic (ii			1				
Robert V.					Farese, Jr	:. 			
Inventor's Signature								Date	
Residence: City	San Francisco		State	CA	Country	USA		Citizenship	USA
Post Office Address	25 6 <sup>th</sup> Avenue								
City	San Francisco	State	CA	Zip	94118		Country	USA	
Name of Second	Inventor:	·· <u>·····</u>							
Giv	en Name (first and n	niddle (if	any])				Family Nar	ne or Surname	
Sylvaine	<del></del>			<del> </del>	Cases	<del></del>	<del></del>	<del></del>	
Inventor's Signature			<del></del> -		<del></del>			Date	
Residence: City	Belmont		State	CA	Country	USA		Citizenship	France
Post Office Address	9 Meadow Park					· · · · · · · · · · · · · · · · · · ·			
City	Belmont	State	CA	Zip	94002		Country	USA	
Name of Third	Inventor:								
Giv	ven Name (first and r	niddle [if	any])				Family Nar	ne or Surname	
Steven	·····				Smith				
Inventor's Signature				<del></del>	•			Date	
Residence: City	San Francisco		State	CA	Country	USA		Citizenship	USA
Post Office Address	470 Filmore Stre	et, #3			<del></del>	<del>. L</del>			
City	San Francisco	State	TCA.	Zip	94117		Country	USA	

Name of Fourth	h Inventor:								
Gi	ven Name (first and mi	iddle [if a	any])		T	ĺ	Family Name	e or Surname	
Sandra K.					Erickson				
Inventor's Signature	The	les	en	<u></u>		T		Date 6/10/02 Citizenship	USA
Residence: City	Mountain View		State	CA	Country	USA		Citizeimuh	1001
Post Office Address	2193 Carol Avenu	ue						T	
City	Mountain View	State	CA	Zip	94040		Country	USA	

## DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

\_\_Declaration
Submitted with OR
Initial Filing

X Declaration
Submitted after Initial
Filing (surcharge (37
CFR 1.16(e)) required)

Attorney Docket Number	UCAL-105CIP2
First Named Inventor	Robert V. Farese et al.
COMPL	ETE IF KNOWN
Application Number	10/040,315
Filing Date	October 29, 2001
Group Art Unit	N/A
Examiner Name	N/A

A	- a	below	named	inventor	. I hereby	declare	that.
n	34	OCIO M	manneu	III V CIII UI	. A INCLUDY	ucciaic	LII44C.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?		
				YES	NO	
					••	
I hereby claim the benefit under 35 U.S.C. 1	19(e) of any United	States provisional application	n(s) listed below.	<u> </u>		
Application Numbe	r(s)	F	iling Date (MM/	DD/YYYY)	<del></del>	
60/107,771			11/09/9	8	<del>,</del>	
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I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/339,472 PCT/US98/17883 09/103,754	06/23/99 08/28/98 06/24/98	

		DIRE	ECT ALL	COR	RESPONI	DENCE T	·O:		
Name	Bret E. Field								
Address	BOZICEVIC, F	IELD &	FRANCIS	LLP	······································				
Address	200 Middlefield	Road, S	uite 200						
City, State, Zip	Menlo Park, CA	94025					<del>-</del>		
Country	U.S.A.			Tele	phone	650-327-3	400 <b>F</b>	acsimile	650-327-3231
Th	it all statements made		<i>C</i> 1-						1 4 b - 11 · 6
believed to be true; punishable by fine of application or any p	and further that these or imprisonment, or boatent issued thereon.	stateme	nts were ma	de with 1	the knowledg	e that willfu	l false state	ments and the li	ke so made are
Name of Sole or									
	en Name (first and mi	ddle [if a	iny]) 				amily Nam	e or Sumame	
Robert V.			- /à		Farese, Jr				
Inventor's Signature	Rober	ctl	Faces	LA.				Date 47/02	
Residence: City	San Francisco		State	ÉΑ	Country	USA		Citizenship	USA
Post Office Address	25 6 <sup>th</sup> Avenue		<del></del>		<u>,                                     </u>	<u> </u>			
City	San Francisco	State	CA	Zip	94118		Country	USA	
Name of Second	Inventor:								
Give	en Name (first and mi	ddle [if a	iny])		<u> </u>	F	amily Nam	e or Surname	
Sylvaine				<del></del>	Cases				
Inventor's							· · · · · · · · · · · · · · · · · · ·	Date	
Signature Residence: City	Belmont		State	CA	Country	USA		Citizenship	France
Post Office						034	<del></del>		Trance
Address	9 Meadow Park								
City	Belmont	State	CA	Zip	94002		Country	USA	
Name of Third I	nventor:								
	en Name (first and m	iddle (if	anvl)		T	F	amily Nam	ie or Surname	<del> </del>
Steven	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		<del></del>		Smith				
Inventor's				<del></del>	Januar			Date	T
Signature	<u> </u>		ls.	1.64	Te	1777			TIC.4
Residence: City	San Francisco		State	CA	Country	USA		Citizenship	USA
Post Office Address	470 Filmore Stree			· · · · · · · · · · · · · · · · · · ·	-p		<del>,</del>		
City	San Francisco	State	CA	Zip	94117		Country	USA	

Name of Fourth	I Inventor.								
Gi	ven Name (first and mi	ddle [if a	any])				Family Name	e or Surname	
Sandra K.					Erickson				
								Date	
Inventor's Signature			T	<u> </u>	Country	USA		Citizenship	USA
Residence: City	Mountain View	_	State	CA	Country	USA			<u> </u>
Post Office Address	2193 Carol Avenu	ıe						Tra	
City	Mountain View	State	TCA	Zip	94040		Country	USA	

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Declaration
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X Declaration
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First Named Inventor	Robert V. Farese et al.
COMPLETE	IF KNOWN
Application Number	10/040,315
Filing Date	October 29, 2001
Group Art Unit	N/A
Examiner Name	N/A

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				YES	NO
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		DIRE	ECT ALL	CORE	RESPONI	DENCE T	<b>O</b> :			
Name	Bret E. Field	Bret E. Field								
Address	BOZICEVIC, F	IELD &	FRANCIS	LLP	·					
Address	200 Middlefield	Road, S	uite 200							
City, State, Zip	Menlo Park, CA	94025							,	
Country	U.S.A.			Tele	phone	650-327-34	400 Fa	csimile	650-327-3231	
believed to be true; punishable by fine	at all statements made and further that these or imprisonment, or b patent issued thereon. First Inventor:	stateme	nts were ma	de with t	he knowledg	e that willful	l false stater	nents and the li	ke so made are	
Giv	en Name (first and m	iddle [if a	iny])			F	amily Name	or Surname	-	
Robert V.					Farese, Jr	•				
Inventor's Signature					•			Date		
Residence: City	San Francisco		State	CA	Country	USA		Citizenship	USA	
Post Office Address	25 6 <sup>th</sup> Avenue				<u> </u>	·				
City	San Francisco	State	CA	Zip	94118 Country			USA		
Name of Second	Inventor:			<del>:</del>						
Giv	en Name (first and m	iddle [if a	iny])		Family Name or Surname					
Sylvaine					Cases		·			
Inventor's Signature					-			Date		
Residence: City	Belmont		State	CA	Country	USA		Citizenship	France	
Post Office Address	9 Meadow Park		·	·				<del></del>		
City	Belmont	State	CA	Zip	94002		Country	USA		
Name of Third Inventor:										
Giv		Family Name or Surname								
Steven		Smith								
Inventor's Signature	Have	J. 1	Suith					Date 6/10/02		
Residence: City	Sán Francisco		State	CA	Country	USA		Citizenship	USA	
Post Office Address	470 Filmore Stree	et, #3								
City	San Francisco	State	CA	Zip	94117		Country	USA		

Name of Fourt	11110111011				_				
Gi	Family Name or Surname								
Sandra K.					Erickson				1
Inventor's Signature		· · ·				TICA		Date Citizenship	USA
Residence: City	Mountain View		State	CA	Country	USA			
Post Office Address	2193 Carol Avenu	ie			· · · · · · · ·		Country	USA	
City	Mountain View	State	CA	Zip	94040		Country	USA	

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

\_\_Declaration
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Examiner Name	N/A

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				YES	NO
					:
(					

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06/23/99 08/28/98 06/24/98	
	(MM/DD/YYYY) 06/23/99 08/28/98

		DIR	ECT AL	L COR	RESPON	DENCE	TO:	Ally DRIT	10. UCAL-103CIP	
Name	Bret E. Field		-		<del></del>					
Address	BOZICEVIC,	FIELD &	FRANCI	S LLP						
Address	200 Middlefiel	d Road, S	Suite 200	<del></del>	- W					
City, State, Zip		<del></del>				<del></del>				
Country	U.S.A.			Tele	phone	650-327	-3400	Facsimile	650-327-3231	
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punishable by fine	at all statements mad and further that thes or imprisonment, or patent issued thereon First Inventor:	e stateme both, und	ents were n	nade with	the knowled:	ge that will	ful false sta	atements and the	ike so made are	
Giv	en Name (first and m	iddle fif	anvl)		1	<del></del>	Family Na	me or Surname		
Robert V.					Farese, Ja		Tallilly 14a			
Inventor's	I			·	raiese, Ji	•			·	
Signature			Ta	1		<del></del>		Date		
Residence: City	San Francisco		State	CA	Country	USA		Citizenship	USA	
Post Office Address	25 6 <sup>th</sup> Avenue					-				
City	San Francisco	State	CA	Zip	94118		Country	USA	,	
Name of Second	Inventor									
	en Name (first and m	iddle lif	anvl)		<del></del>		Family No	ma ar Sumama		
			——————		Family Name or Surname					
Sylvaine .		_			Cases					
Inventor's Signature			=> G	A'>	=			Date	6/17/02	
Residence: City	Belmont		State	CA	Country	USA		Citizenship	France	
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Steven					Smith					
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Name of Fourt	h Inventor:								10. OCAL-103C)	
G	ven Name (first and m	iddle [if	any])				Family Nam	e or Surname		
Sandra K.						Erickson				
Inventor's Signature			<u></u>			-		Date		
Residence: City	Mountain View		State	CA	Country	USA		Citizenship	USA	
Post Office Address	2193 Carol Avenue									
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Attorney Docket No.: UCAL-105CIP2 Applicant: Robert V. Farese et al.

Serial No.: 10/040,315 Filed: October 29, 2001

For: DIACYLGLYCEROL O-ACYLTRANSFERASE

Group: 1632

Examiner: Unassigned

[]

was recorded on\_

[X] is submitted herewith for recording.

# POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Karl Bozicevic (28,807); Pamela J. Sherwood (36,677) Bret E. Field (37,620); Carol L. Francis (36,513); Paula A. Borden (42,344); Alan W. Cannon (34,977); Frank P. Becking (42,309), Carol M. LaSalle (39,740), Kathleen S. Hall (44,143), Robert (1. Hall (39,209), James S. Keddie (48,920), Kelly McCrystle (46,257), Mabel Ng (48,922) and Ted Chan (46,609) as its attorney to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorneys) in accordance with the provisions of 37 C.F.R. 3.71.

An assignment of the entire interest in the above identified subject application:

Please direct	tall telephone calls to Bret E. Field at 650-327-3400 and all correspondence relative
to said application to	the following address:
	BOZICEVIC, FIELD & FRANCIS 200 MIDDLEFIELD ROAD, SUITE 200 MENLO PARK, CALIFORNIA 94025
Dated: June 24,	2002
	E REGENTS OF THE UNIVERSITY OF CALIFORNIA  Linde S Stevenson
Title: Manager, Pat	
11	fice of Technology Transfer  11 Franklin Street, 5th Floor kland, CA 94607-5200